

Attorney's Docket No. 42P14868C

Patent

In re the Application of: Green et al.

(inventor(s))

Application No.: 10/087,728

Filed: February 28, 2002

For: METHOD AND APPARATUS FOR FILTERING AN OPTICAL BEAM  
(title)

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR: Transmitted herewith is a Response and Amendment for the above application.

- Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.  
 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.  
 No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	
Total Claims	* 32	Minus	** 32	0	
Indep. Claims	* 2	Minus	*** 3	0	
<b>First Presentation of Multiple Dependent Claim(s)</b>					

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X43	\$
+145	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$ 0
X86	\$ 0
+290	\$
Total Add. Fee	\$ 0

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING:

Express Mail No.: EV320118568US. I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to Mail Stop RCE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450

on November 4, 2003  
Date of Deposit

Luci M. Arevalo  
Name of Person Mailing Correspondence

Luci M. Arevalo  
Signature

November 4, 2003  
Date

A check in the amount of \$\_\_\_\_\_ is attached for presentation of additional claim(s).  
 Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
37 C.F.R. § 1.136(a).

A check for \$\_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.  
 Please charge my Deposit Account No. 02-2666 the amount of \$\_\_\_\_\_.

**A duplicate copy of this sheet is enclosed.**

The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the  
following fees associated with this communication or credit any overpayment to Deposit Account  
No. 02-2666 (**a duplicate copy of this sheet is enclosed**):

- Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of  
extra claims.  
 Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 11-4-03

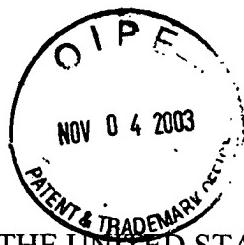


---

Anthony H. Azure  
Attorney for Applicant(s)  
Reg. No. 52,580

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(206) 292-8600

42P14868C



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Green et al. )  
Serial No. 10/087,728 )  
Filed: February 28, 2002 )  
For: METHOD AND APPARATUS FOR )  
FILTERING AN OPTICAL BEAM )  
\_\_\_\_\_  
)

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
NOV 13 2003  
TECHNOLOGY CENTER 2800

AMENDMENT AND RESPONSE

Sir:

In response to the final Office Action mailed August 4, 2003, the Applicants request the Examiner to enter the following amendments and to consider the following remarks. This Amendment and Response is provided as a submission required under 37 C.F.R. § 1.114 for a request for continued examination (RCE) filed herewith.

042390.P14868C  
Serial No. 10/087,728

- 1 -

Examiner: Jackson, C.  
Art Unit: 2828